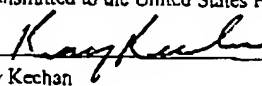


PATENT  
Docket No. 373722002400

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on April 7, 2002.  
Kerry Kechan

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Anthony J. TICKNOR et al.

Serial No.: 10/085,885

Filing Date: February 27, 2002

For: MICROFLUIDIC CONTROL FOR  
WAVEGUIDE OPTICAL SWITCHES,  
VARIABLE ATTENUATORS, AND  
OTHER OPTICAL DEVICES

Examiner: To Be Assigned

Group Art Unit: 2874

## REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Assistant Commissioner for Patents  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

In the Title: Please delete "MICROFLUDIC" and insert --MICROFLUIDIC-- in place thereof.

In the Applicant(s): After "John T." please delete "KENNY" and insert --KENNEY-- in place thereof.

A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing attorney docket No. 373722002400. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: April 8, 2002

By:

  
Charles D. Holland  
Registration No. 35,196

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5832  
Facsimile: (650) 494-0792



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/085,885	02/27/2002	2874	1575	373722002400	31	66	11

RECEIVED

CONFIRMATION NO. 7760

Charles D. Holland  
Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, CA 94304-1018

APR 01 2002

FILING RECEIPT



\*000000007720388\*

MORRISON &amp; FOERSTER, LLP

Date Mailed: 03/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Kenney*  
Anthony J. Ticknor, Residence Not Provided;  
John T. ~~Kenney~~, Residence Not Provided;  
Giacomo Vacca, Residence Not Provided;  
Dudley A. Saville, Residence Not Provided;  
Ken G. Purchase, Residence Not Provided;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/272,337 02/28/2001

## Foreign Applications

If Required, Foreign Filing License Granted 03/26/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

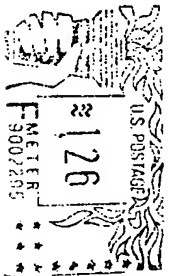
\*\* SMALL ENTITY \*\*

## Title

→ Microfluidic control for waveguide optical switches, variable attenuators, and other optical devices  
Microfluidic

DOCKETED

COPY OF PAPERS  
ORIGINALLY FILED



RECEIVED  
MAY 29 2002  
USPTO MAIL CENTER

MORRISON & FOERSTER LLP  
755 PAGE MILL ROAD  
PALO ALTO, CA 94304-1018

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

0300  
#4  
**MORRISON & FOERSTER LLP**

Attorneys at Law  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5600  
Facsimile: (650) 494-0792

**To:** Office of Initial Patent Examination's Customer Service Center

**Facsimile:** (703) 308-7751  
**Phone:** (703) 308-1202

**From:** Charles D. Holland

**Date:** April 9, 2002

We are transmitting a total of 5 pages (including this page).  
Original or hard copy to follow if this box is checked ☐.

**If you do not receive all pages, please call as soon as possible (650) 813-5779.**

Preparer of this slip has confirmed that facsimile number given is correct: 7122/KCK2

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster immediately by telephone or facsimile and return it promptly by mail.

In the application of:

Anthony J. TICKNOR et al.

Serial No.: 10/085,885

Filing Date: February 27, 2002

For: MICROFLUIDIC CONTROL FOR WAVEGUIDE OPTICAL SWITCHES, VARIABLE  
ATTENUATORS AND OTHER OPTICAL DEVICES

Attorney Docket No.: 373722002400

VIA FACSIMILE on April 9, 2002

Atty/sec. CDH3/kck2

1. Transmittal Form - 1 page
2. Request for Corrected Filing Receipt - 2 pages
3. Copy of Marked-up Filing Receipt Showing Changes - 1 page

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

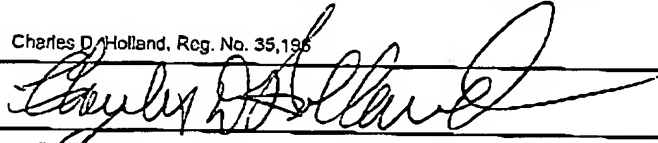
(to be used for all correspondence after initial filing)

<b>Application Number</b>	10/085,885
<b>Filing Date</b>	February 27, 2002
<b>First Named Inventor</b>	Anthony J. TICKNOR
<b>Group Art Unit</b>	2874
<b>Examiner Name</b>	To Be Assigned
<b>Attorney Docket No.</b>	373722002400
<b>Total Number Of Pages In This Submission</b>	4

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Request for Corrected Filing Receipt - 2 pages
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Mark-up Copy of Filing Receipt Showing Changes - 1 page
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Fax Coversheet
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual Name</b>	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
<b>Signature</b>	
<b>Date</b>	April 9, 2002

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on April 9, 2002.

  
Kerry Keehan

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.